



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/608,922
		Filing Date	JUNE 27, 2003
		First Named Inventor	KRAGL, HANS
		Art Unit	2874
		Examiner Name	ULLAH, AKM E
Total Number of Pages in This Submission		Attorney Docket Number	KS-4316US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> <input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<b>INFORMAL AMENDMENT RETURN POSTCARD</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	JOHN W. BAIN, REG. NO. 42,283 JANSSON, SHUPE & MUNGER, LTD. 245 MAIN STREET, RACINE, WI 53403
Signature	
Date	FEBRUARY 27, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Typed or printed name	TERASA JOHNSON
Signature	
Date	FEBRUARY 27, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hans Kragl )  
Serial No.: 10/608,922 )  
Examiner: Akm E. Ullah ) Art Unit: 2874  
Filed: June 27, 2003 ) Confirmation No. 7023  
Title: COUPLING DEVICE FOR )  
OPTICALLY COUPLING A )  
WAVEGUIDE TO AN ELECTRO- )  
OPTICAL ELEMENT )

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMAL AMENDMENT

Sir:

In response to the Notice of Drawing Inconsistency With Specification mailed February 20, 2004, please amend the above-identified application as follows:

IN THE SPECIFICATION:

Page 14, please change the first paragraph to read as follows:

FIGS. 6A-6C respectively show in a top plan view and in longitudinal sections a submount formed in micro structure technology in different assembly steps;

FIG. 6D shows in a longitudinal section the arrangement according to FIG. 6C, and FIG. 6E shows a coupling element adapted thereto, in a fourth exemplary embodiment of the present invention.